


## Appendix Three

### EQUALITY ANALYSIS QUALITY ASSURANCE CHECKLIST

<b>Name of 'proposal' and how has it been implemented</b> (proposal can be a policy, service, function, strategy, project, procedure, restructure/savings proposal)	<b>Gambling Policy 2016 Review</b>
<b>Directorate / Service</b>	<b>CLC / Safer Communities</b>
<b>Lead Officer</b>	<b>David Tolley, Head of Environmental Health and Trading Standards</b>
<b>Signed Off By (inc date)</b>	
<b>Summary – to be completed at the end of completing the QA (using Appendix A)</b> (Please provide a summary of the findings of the Quality Assurance checklist. What has happened as a result of the QA? For example, based on the QA a Full EA will be undertaken or, based on the QA a Full EA will not be undertaken as due regard to the nine protected groups is embedded in the proposal and the proposal has low relevance to equalities)	<div>  <b>Proceed with implementation</b> </div> <p>As a result of performing the QA checklist, the policy does not appear to have any adverse effects on people who share <i>Protected Characteristics</i> and no further actions are recommended at this stage.</p>

Stage	Checklist Area / Question	Yes / No / Unsure	Comment (If the answer is no/unsure, please ask the question to the SPP Service Manager or nominated equality lead to clarify)
<b>1</b>	<b>Overview of Proposal</b>		
a	Are the outcomes of the proposals clear?	Yes	The decision making body is recommended to:

			<ul style="list-style-type: none"> <li>• Agree the proposed Gambling Policy</li> <li>• Note that the 'no casino' resolution remain within the Gambling Policy.</li> </ul> <p>All local authorities have to review and adopt a gambling policy every three years which defines how they will administer and exercise their responsibilities under the Gambling Act 2005.</p> <p>The Gambling Policy is prescribed by the central government and the Gambling Commission. The policy is compatible with this advice and guidance.</p> <p>Some of the major issues and concerns about gambling, including gambling addiction, are not addressed in the policy. Also, noise nuisance is not a licensing objective. Any issues relating to noise and nuisance will be dealt with by the Council's noise team.</p>
b	Is it clear who will be or is likely to be affected by what is being proposed (inc service users and staff)? Is there information about the equality profile of those affected?	Yes	<p>The Gambling Policy states how the Licensing Authority will exercise its authority. This policy covers the following:</p> <ul style="list-style-type: none"> <li>• How the Licensing Authority will use its regulatory powers in relation to applications and reviews of the activities it regulates, to the extent it is allowed by statute.</li> <li>• The main licensing objective for the authority is protecting children, preventing crime and disorder and ensuring gambling is fair and open.</li> <li>• The Licensing Authority approach to regulation</li> <li>• The scheme of delegation</li> </ul> <p>The licencing objectives remain including protecting children and the vulnerable, including 'people may not be able to</p>

			<p>make informed or balanced decisions about gambling due to a mental impairment, alcohol or drugs.'</p> <p>The business operators will be requested to undertake local risk assessments in relation to their premises. It is expected that the local risk assessment will consider various issues including exposure to vulnerable groups, type of footfall (e.g. children, families), education facilities and homelessness/ rough sleeper hostels. The local risk assessment is also expected to identify how these risks will be mitigated and monitored.</p>
<b>2</b>	<b>Monitoring / Collecting Evidence / Data and Consultation</b>		
<b>a</b>	Is there reliable qualitative and quantitative data to support claims made about impacts?	Yes	<p>The Gambling Policy is prescribed by the central government and the Gambling Commission. The policy is compatible with this advice and guidance.</p> <p>Regarding the business related data, the Development and Renewal (D&amp;R) directorate have corporate lead responsibility for data capture and are currently reviewing the technical implications in developing an equalities strand of their business data base.</p>
	Is there sufficient evidence of local/regional/national research that can inform the analysis?	Yes	<p>Gambling premises will undertake a risk assessment taking into consideration their local information.</p> <p>The gambling policy is compatible with this advice and guidance by the central government and the Gambling Commission.</p>
<b>b</b>	Has a reasonable attempt been made to ensure relevant knowledge and expertise (people, teams and partners) have been involved in the analysis?	Yes	<p>As above. Also, a statutory consultation process commenced on 19 October 2015 and continued for three months, until 17 January 2016. The draft policy has also been consulted by the Licensing Committee on 4 December 2015 and the Overview and Scrutiny Committee on 4 January 2016. The comments received have been analysed and incorporated into the policy where necessary.</p>

c	Is there clear evidence of consultation with stakeholders and users from groups affected by the proposal?	Yes	A statutory consultation process commenced on 19 October 2015 and continued for three months, until 17 January 2016.
<b>3</b>	<b>Assessing Impact and Analysis</b>		
a	Are there clear links between the sources of evidence (information, data etc) and the interpretation of impact amongst the nine protected characteristics?	Yes	<p>The gambling policy is compatible with this advice and guidance by the central government and the Gambling Commission.</p> <p>The licencing objectives remain including protecting children and the vulnerable, including 'people may not be able to make informed or balanced decisions about gambling due to a mental impairment, alcohol or drugs.'</p>
b	Is there a clear understanding of the way in which proposals applied in the same way can have unequal impact on different groups?	N/A	
<b>4</b>	<b>Mitigation and Improvement Action Plan</b>		
a	Is there an agreed action plan?	Yes	<p>The policy will be agreed by the full Council.</p> <p>All local authorities have to review and adopt a gambling policy every three years which defines how they will administer and exercise their responsibilities under the Gambling Act 2005.</p>
b	Have alternative options been explored	Yes	The Gambling Policy is prescribed by the central government and the Gambling Commission.
<b>5</b>	<b>Quality Assurance and Monitoring</b>		
a	Are there arrangements in place to review or audit the implementation of the proposal?	Yes	All local authorities have to review and adopt a gambling policy every three years which defines how they will administer and exercise their responsibilities under the Gambling Act 2005.
b	Is it clear how the progress will be monitored to track impact across the protected characteristics?	Yes	The policy includes a number of measures to prevent children from taking part in gambling and restriction of advertising so that gambling products are not aimed at or are attractive to children.

			<p>The licensing authority will consider whether specific measures are required at particular premises, with regard to this licensing objective. Appropriate measures may include supervision of entrances/ machines, segregation of areas.</p> <p>The policy also states that local risk assessment may include arrangements for monitoring and dealing with under age persons and vulnerable persons.</p>
<b>6</b>	<b>Reporting Outcomes and Action Plan</b>		
<b>a</b>	Does the executive summary contain sufficient information on the key findings arising from the assessment?	Yes	